

10. INTERNAL AUDIT 2015/16 ANNUAL PLAN (A1362/7/ PN)

Purpose of the report

1. This report asks Members to approve the proposed Internal Audit Plan for 2015/16.

Key issues include:

- The purpose of the Internal Audit Plan is to provide the Head of Internal Audit with sufficient evidence to give an opinion on the effectiveness of risk management, governance and internal control across the full range of activities of the organisation.

Recommendations

2. 1. **That the 2015/16 Internal Audit Plan be approved.**

How does this contribute to our policies and legal obligations?

3. As identified in the Annual Governance Statement, the Internal Audit process is regarded as an important part of the overall internal controls operated by the Authority contributing to corporate objective 11 “be a well run public body with proportionate and effective ways of working, delivering excellent customer service and living our values”.

Background

4. The Accounts and Audit Regulations 2011 require that the Authority undertakes an adequate and effective system of internal audit of its accounting records and its system of internal control in accordance with proper practices. Our Internal Audit Provider is Veritau Ltd and the Audit Manager Ian Morton will be attending the committee meeting.

Proposals

5. Members are asked to consider and approve the internal audit 2015/16 audit plan.

Are there any corporate implications members should be concerned about?

6. **Financial:**

The cost of the Internal Audit contract is found from within the overall Finance budget.

7. **Risk Management:**

The Internal Audit process is regarded as an important part of the overall internal controls operated by the Authority.

8. **Sustainability:**

There are no implications to identify.

9. **Background papers** (not previously published) – None

Appendices -

Appendix 1: Internal Audit annual audit plan 2015-16

Report Author, Job Title and Publication Date

Philip Naylor, Head of Finance, 9 July 2015.